

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John A. Moon	:	
	:	Art Unit: 2872
Serial No.: 10/661,031	:	
	:	Examiner: Lavarias, Arnel C.
Filed: September 12, 2003	:	
	:	
For: DIFFRACTION GRATING-BASED	:	
ENCODED MICROPARTICLES FOR	:	
MULTIPLEXED EXPERIMENTS	:	

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop: Amendment
Commissionner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

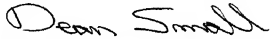
- ☒ 1. A list of documents on form PTO/SB/08A (Substitute for form 1449/PTO) together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☐ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE].

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☐ 6. Payment of all applicable fees:
- ☒ If any additional extension and/or fee is required, charge Deposit Account No. 50-3858.
- ☐ PTO-2038 Credit Card Payment Form is attached for the amount of \$____ for payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

Respectfully Submitted,



Dean D. Small
Reg. No. 34,730
THE SMALL PATENT LAW GROUP LLP
611 Olive Street, Suite 1611
St. Louis, Missouri 63101
(314) 584-4080